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ED 398 838 196 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: FRANANO Confirmation No.: 3974
Serial No.: 10/603,501 Art Unit: 1651
Filed: June 24, 2003 Examiner: Afremova, Vera
For: METHODS FOR ENLARGING THE DIAMETER OF AN ARTERY OR VEIN IN A HUMAN SUBJECT Attorney Docket No.: 31110-0003

TRANSMITTAL OF APPLICATION DATA SHEET

Mail Stop AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

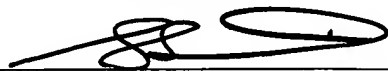
Sir:

Applicant submits herewith an Application Data Sheet in the above-identified application. The data recited in the Application Data Sheet represent the current data associated with the application. No previous Application Data Sheets have been submitted in connection with this application.

Applicant believes that no fee is due. However, the Director is authorized to charge any required fee to Fried, Frank, Harris, Shriver & Jacobson LLP Deposit Account No. 06-0920.

Respectfully submitted,

Date: October 27, 2006


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Application Data Sheet 37 CFR 1.76		Attorney Docket Number	31110-0003
		Application Number	10/603,501
Title of Invention	Methods for enlarging the diameter of an artery or vein in a human subject		
The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76. This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.			



Secrecy Order 37 CFR 5.2

- ☐ Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)

Applicant Information:

Applicant 1							
Applicant Authority		<input checked="" type="radio"/> Inventor		<input type="radio"/> Legal Representative under 35 U.S.C. 117		<input type="radio"/> Party of Interest under 35 U.S.C. 118	
Prefix	Given Name	Middle Name		Family Name		Suffix	
	F.	Nicholas		Franano			
Residence Information (Select One)						<input checked="" type="radio"/> US Residency <input type="radio"/> Non US Residency <input type="radio"/> Active US Military Service	
City	Kansas City		State/Province	MO	Country of Residence	US	
Citizenship under 37 CFR 1.41(b) i			US				
Mailing Address of Applicant:							
Address 1		1010 West 69th Terrace					
Address 2							
City	Kansas City			State/Province	MO		
Postal Code		64113		Country	US		
All Inventors Must Be Listed - Additional Inventor Information blocks may be generated within this form by selecting the Add button. 							

Correspondence Information:

Enter either Customer Number or complete the Correspondence Information section below. For further information see 37 CFR 1.33(a).			
<input type="checkbox"/> An Address is being provided for the correspondence information of this application.			
Customer Number	42477		
Email Address	rabinst@ffhsj.com		 

Application Information:

Title of the Invention	Methods for enlarging the diameter of an artery or vein in a human subject		
Attorney Docket Number	31110-0003	Small Entity Status Claimed <input checked="" type="checkbox"/>	
Application Type	Nonprovisional		
Subject Matter	Utility		
Suggested Class (if any)		Sub Class (if any)	
Suggested Technology Center (if any)			
Total Number of Drawing Sheets (if any)	5	Suggested Figure for Publication (if any)	

Application Data Sheet 37 CFR 1.76	Attorney Docket Number	31110-0003
	Application Number	10/603,501
Title of Invention	Methods for enlarging the diameter of an artery or vein in a human subject	

Publication Information:	
<input type="checkbox"/>	Request Early Publication (Fee required at time of Request 37 CFR 1.219)
<input type="checkbox"/>	Request Not to Publish. I hereby request that the attached application not be published under 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application has not been and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

Representative Information:

Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Enter either Customer Number or complete the Representative Name section below. If both sections are completed the Customer Number will be used for the Representative Information during processing.					
Please Select One:		<input type="radio"/> Customer Number	<input checked="" type="radio"/> US Patent Practitioner	<input type="radio"/> US Representative (37 CFR 11.9)	
Prefix	Given Name	Middle Name	Family Name	Suffix	<input type="button" value="Remove"/>
	Stephen	S.	Rabinowitz		
Registration Number	40286				
Additional Representative Information blocks may be generated within this form by selecting the Add button.					

Domestic Priority Information:

This section allows for the applicant to claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c). Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78(a)(2) or CFR 1.78(a)(4), and need not otherwise be made part of the specification.					
Prior Application Status		Patented		<input type="button" value="Remove"/>	
Application Number	Continuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)	Patent Number	Issue Date (YYYY-MM-DD)
	Continuation of	09669051	2000-09-24	7063838	2006-06-20
Prior Application Status		Expired		<input type="button" value="Remove"/>	
Application Number	Continuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)		
09669051	non provisional of	60155938	1999-09-24		
Additional Domestic Priority Data may be generated within this form by selecting the Add button.					

Foreign Priority Information:

This section allows for the applicant to claim benefit of foreign priority and to identify any prior foreign application for which priority is not claimed. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(a).			
<input type="button" value="Remove"/>			
Application Number	Country ⁱ	Parent Filing Date (YYYY-MM-DD)	Priority Claimed
			<input checked="" type="radio"/> Yes <input type="radio"/> No

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	31110-0003
		Application Number	10/603,501
Title of Invention	Methods for enlarging the diameter of an artery or vein in a human subject		

Additional Foreign Priority Data may be generated within this form by selecting the **Add** button.

Assignee Information:

Providing this information in the application data sheet does not substitute for compliance with any requirement of part 3 of Title 37 of the CFR to have an assignment recorded in the Office.

Assignee 1

If the Assignee is an Organization check here. ☒

Organization Name Proteon Therapeutics, Inc.

Mailing Address Information:

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State/Province

MO

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Postal Code

64111

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Fax Number

(816) 531-3079

Email Address

Additional Assignee Data may be generated within this form by selecting the **Add** button.

Signature:

A signature of the applicant or representative is required in accordance with 37 CFR 1.33 and 10.18. Please see 37 CFR 1.4(d) for the form of the signature.

Signature	/srabinowitz/	Date (YYYY-MM-DD)	2006-10-27
First Name	Stephen	Last Name	Rabinowitz
		Registration Number	40286

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**